

CE OFFERING CHECKLIST AND INSTRUCTIONS

RE 300 (Rev. 6/16)

APPLICATION CHECKLIST

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| <ol style="list-style-type: none"> 1. <input type="checkbox"/> CE Offering Approval Application (RE 315) or CE Course Renewal Application (RE 314) 2. <input type="checkbox"/> Continuing education offering fee - \$350 or \$500. 3. <input type="checkbox"/> Corporation requirements, if applicable. 4. <input type="checkbox"/> Fictitious Business Name Statement, if applicable. 5. <input type="checkbox"/> Consent to Service of Process (RE 304), if out of state. 6. <input type="checkbox"/> Copies of all student instructional materials (textbooks, student workbook, reference manual, CD's, DVD's, case studies, sample forms, articles, Microsoft® PowerPoint® presentations, etc.). 7. <input type="checkbox"/> Copyright authorization for any copyrighted material, if applicable. 8. <input type="checkbox"/> CE Instructor Certification (RE 335) and substantiation of instructor's qualification. 9. <input type="checkbox"/> One (1) General Information Page (RE 308). 10. <input type="checkbox"/> For all live courses, a narrative course outline or text of not less than three (3) pages per credit-hour with an average word count of 200 words per page. | <ol style="list-style-type: none"> 11. <input type="checkbox"/> A timed course outline showing each topic, subtopic, quizzes (if correspondence course), and final exam allocated time in minutes. 12. <input type="checkbox"/> Students' final exam instructions. 13. <input type="checkbox"/> Exam proctor/monitor instructions. 14. <input type="checkbox"/> Instructor version of the final exam(s) and answer key with page references where answers can be found within the course material. 15. <input type="checkbox"/> Student version of the final exam(s). 16. <input type="checkbox"/> Course Completion Certificate (RE 302). 17. <input type="checkbox"/> For all live courses, Record of Attendance (RE 349). 18. <input type="checkbox"/> For all correspondence courses, the incremental assessments (i.e. quizzes) and answers to be utilized after each chapter or logical unit of instruction. 19. <input type="checkbox"/> For all correspondence courses, provide detailed statements as noted in Regulation Section 3007(h). See form RE 312 for specific information. |
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GENERAL INFORMATION

Attached is information relating to the sponsorship requirements of a continuing education (CE) offering. It is important that you and all individuals connected with the proposed offering be thoroughly familiar with the contents of this packet.

An offering approval is required pursuant to Article 2.5 commencing with Section 10170 of Chapter 3 of Part 1 of Division 4 of the California Business and Professions Code.

The sponsor/applicant is responsible for compliance with all statutory (Business and Professions Code) and Commissioner's Regulation requirements. Continuing education regulations are contained in form RE 312.

Course Fee

A separate application fee is required for each application. *The processing fee is non-refundable and must be received before the application will be processed.*

- \$500 Each offering (three hours or more duration)
- \$350 Each offering (less than three hours duration)

Acceptable payment methods — Cashiers' check, money order, check or credit card

- Make check or money order payable to:
Bureau of Real Estate
- If paying by credit card, submit a completed Credit Card Payment (RE 909) form.

Mailing Information

Hand deliver or mail the completed forms, attachments and course fee to:

Bureau of Real Estate, Attn: Education Section
1651 Exposition Blvd, Sacramento, CA 95815
P.O. Box 137009, Sacramento, CA 95813-7009

Questions

If you have any questions regarding original course application requirements or "renewal procedures" for a course about to expire, please contact the Education Section at the above address or by telephone at (916) 263-8703.

Additional information and forms are available on CalBRE's Web site www.calbre.ca.gov.

WHAT TO SUBMIT

A completed application must be submitted to the Bureau *not less than 90 days* before the proposed commencement date of the offering (see Commissioner's Regulation 3007). Offerings *may not* be promoted as being CalBRE-approved until actual approval is issued.

A separate application (RE 315), course fee and related material must be submitted for *each* offering.

Incomplete applications will delay processing. If your application meets all requirements, a two (2) year certificate of approval will be issued.

All approved offerings are listed on the CalBRE Web site at www.calbre.ca.gov. The sponsor's name, address, and telephone number, along with the course name, category, number of credit hours, and method of delivery are included on the Web site.

Basic Application Required

CE Offering Approval Application (RE 315)

Note: Minimum length of course is one hour. Credit is granted only in full one-hour increments. Method of presentation may be either live instruction or by correspondence/home study/internet; a separate application and fee are required for each.

Additional Documents Required

- Course Outline - All continuing education offerings require a Course Outline with each topic, subtopic, quizzes (if correspondence course), and final exam annotated with its allocated time in minutes.
- For all live courses, a sponsor shall provide a written course outline that is a narrative outline consisting of not less than three (3) pages per credit-hour. Each page shall contain an average of 200 words.
- *Course Materials* — Copies of all instructional materials, student materials, textbooks, case studies, sample forms, CDs, DVDs, etc., to be used as a part of the offering.
- *Course Completion Certificate* — Submit a course completion certificate, in *exemplar* form as reflected on the sample CalBRE form RE 302. The certificate must be signed by the verifier.
- *General Information Page* — Prior to registration for a course, a General Information page must be provided to the student which contains all course requirements included on form RE 308.
- *Copyright Authorization* — A copyright authorization from the copyright holder specifying the material that may be used and to whom the authorization has been granted is required if copyrighted material will be used in a manner that would be in violation of the copyright laws.
- *CE Instructor Certification* (RE 335) and supporting qualification documentation for each instructor is required for all course offerings.
- *Fictitious Business Name Statement* — Sponsor operating under a "doing business as" ("DBA") name must include

a Fictitious Business Name Statement that has been filed with the county recorder in the county where the Sponsor maintains their principal place of business or in Sacramento County if the Sponsor does not maintain a place of business in California.

- *Final Exam (Instructor Version)* — Submit a copy of the final exam marking it as the "Instructor Version", which includes an answer key that references where the answers can be found within the course material. CalBRE requires a minimum of 70% passing score on the final exam.
- *Final Exam (Student Version)* — Submit a copy of the final exam marking it as the "Student Version", which is an exact copy of what the student will see.
- *Final Exam Instructions* — Submit a copy of exam instructions that will be provided to the student explaining all exam requirements.
- *Incremental Assessments* — For correspondence courses, provide copies of the incremental assessments (i.e. case studies, quizzes or other form of exercises) and answers to be utilized after each logical unit of instruction or chapter and a statement detailing the method of providing remediation to the participant after each assessment has been completed.
- *Proctored Examinations* — Final examinations for a correspondence course may be administered by a proctor designated by the Sponsor who is not related by blood, marriage, domestic partnership, or any other relationship, i.e. future employing broker, to the participant taking the examination which might reasonably influence them from properly administering the exam. Proctor/Monitor Instructions must include a certification statement, with signature field confirming that the proctor complied with all examination rules during the administration of the examination.

Notes: Participants must attend at least 90% of the approved clock hours in order to qualify for continuing education credit. Form RE 349 is a sample attendance form. All offerings shall require completion within one year from the date of registration.

Out-of-State Applicants

If applicant is a non-resident of California, a Consent to Service of Process (RE 304) must be notarized and submitted with the application.

Corporation Requirement

A sponsor that is a corporation, company or partnership shall maintain good legal standing with the California Secretary of State during any term of course approval. Upon initial application and as updates to the entities status occurs, a Sponsor is required to submit the following: A Domestic Corporation shall submit the filed Articles of Incorporation endorsed by the California Secretary of State. A Foreign Corporation shall submit a Certificate of Qualification executed by the California Secretary of State. An out-of-state Company or Partnership (whether Domestic or Foreign) shall submit one of the following documents, issued by the California Secretary of State: Certificate of Qualification,

Certificate of Registration, or Certificate of Good Standing.

COURSE RELATED INFORMATION

Advertising and Promotional Material

All advertising and promotional material including Web sites for a continuing education offering shall not include false or misleading statements or representations and must contain only the four-digit identification number assigned by the Bureau immediately following the words "CalBRE Sponsor No." The full eight-digit course approval number shall not be included in any advertisement. It is recommended that you submit flyers and marketing material for review with the course application.

Sale of Products and Services

A sponsor, course instructor, or third party vendor is prohibited from marketing, selling or displaying any product or service during a continuing education offering including during breaks between instructional periods. The foregoing shall not prohibit marketing activities conducted outside of the instruction room before or after the course of instruction, or outside of the instruction room during breaks in the course of instruction.

Identification & Attendance Control

Forms of Identification Accepted — A participant shall present one of the following forms of identification immediately before admittance to a live presentation of an offering or, immediately before the administration of the final examination for a correspondence offering.

- (a) A current California drivers license.
- (b) A current identification card described in Section 13000 of the California Vehicle Code.
- (c) Any identification of the participant issued by a governmental agency or a recognized real estate related trade organization within the immediately preceding five years which bears a photograph, signature and identification number of the participant.

Credit Hours (Clock Hours) Information

The offering shall have at least one successive clock hour of instruction which is based on 50 minute increments of actual instruction.

Offerings for Ethics, Agency, Fair Housing, Trust Fund Handling, Risk Management, and Management and Supervision

If you are preparing course material for offerings in the categories of Ethics, Agency, Fair Housing, Trust Fund Handling, Risk Management, or Management and Supervision, the content must meet the Guidelines as set forth in RE 329.

One-Time Offering Information

If a course is a live educational seminar, conference or symposium that will not be offered more than annually in two (2) locations covering subject material dealing with new or changing trends, concepts or innovations in areas relevant

to the real estate industry, a cover letter shall be submitted identifying the course as a one-time offering and the first date it is to be offered.

Final Examinations

Final examination rules can be found in Regulation Section 3007.3. Supplemental information can be found on the Bureau's sample general information page (RE 308).

Correspondence/Internet Course Information

Upon submitting an application to offer a correspondence course via the internet, the sponsor shall provide access to the Bureau to view and evaluate the proposed course, as offered to the participant, by providing a unique user name and password to review the course as it is delivered online.

Detailed statement(s) addressing the following is required for correspondence course offerings:

1. Method of control to protect the integrity of an exam administered via the internet.
2. Procedures controlling the participant's navigation through the course content to ensure the completion time is appropriate for the number of clock hours for which the course is approved.
3. A method of control that protects the integrity of the exam, ensured by a statement, signed under penalty of perjury, that the participant enrolled is the person completing the course.
4. The final examination is protected by restricting access to one-time, cannot be printable or downloadable, and must time-out after the maximum amount of time authorized for completion has lapsed.

CE OFFERING APPROVAL APPLICATION

RE 315 (Rev. 6/16)

CaIBRE RECEIVED DATE

GENERAL INFORMATION

- Read RE 300, RE 312, and RE 329 before completing and submitting this application.
- Type or print clearly in ink.
- Complete all information requested.
- *Item #1* – Enter the exact name of the course provider which will be used on all advertisements/promotions and course material.

ENTITY

1. NAME OF COURSE SPONSOR/SCHOOL

2. ADDRESS

BUSINESS TELEPHONE

()

CITY

STATE

ZIP CODE

3. WEB ADDRESS

PUBLIC EMAIL ADDRESS OF SPONSOR/SCHOOL

4. NAME LISTED IN #1 IS A/AN:

INDIVIDUAL

CORPORATION

PARTNERSHIP

COMPANY

FICTITIOUS BUSINESS NAME

ACCREDITED COLLEGE OR UNIVERSITY

5. SECONDARY CONTACT AUTHORIZATION — LIST THE NAMES OF ANY INDIVIDUAL (OTHER THAN THE PRIMARY CONTACT) WHO IS AUTHORIZED TO ACT ON BEHALF OF YOU OR YOUR ENTITY IN FUTURE CORRESPONDENCE REGARDING YOUR CONTINUING EDUCATION OFFERING(S). NOTE: SUCH ACTS WILL BE BINDING UPON THE APPLICANT. OBTAIN SIGNATURE, EMAIL ADDRESS AND PHONE NUMBER OF EACH PERSON LISTED.

Printed Name	Signature	Telephone Number	Email Address
		()	
		()	
		()	

6A. HAVE YOU OR THIS PROVIDER EVER HAD A CONTINUING EDUCATION OFFERING APPROVED BY THE BUREAU?

YES NO

IF YES, COMPLETE 6B AND 6C.

6B. LAST APPROVED COURSE TITLE

6C. LAST APPROVED OFFERING CERTIFICATE NUMBER

SUMMARY OF OFFERING

7. COURSE TITLE (COURSE COMPLETION CERTIFICATES AND ADVERTISING/PROMOTIONAL MATERIALS MUST INCLUDE EXACT TITLE AS SHOWN HERE.)

8. IS THIS COURSE OFFERED IN CONJUNCTION WITH ANY OTHER CaIBRE-APPROVED COURSE PROVIDERS?

YES NO

IF YES, LIST HERE:

9A. IS APPLICATION FOR A ONE-TIME OFFERING? (REFER TO RE 300 FOR FURTHER INFORMATION.)

YES NO

IF YES, COMPLETE 9B.

9B. DATE OF OFFERING

10. CATEGORY OF OFFERING FOR WHICH YOU ARE SUBMITTING THIS APPLICATION (CHECK ONE)

ETHICS

FAIR HOUSING

CONSUMER PROTECTION

AGENCY

RISK MANAGEMENT

CONSUMER SERVICE

TRUST FUND HANDLING

MANAGEMENT AND SUPERVISION

EIGHT-HOUR SURVEY COURSE

CaIBRE USE ONLY

OFFERING TYPE

E A TF FH RM

CP CS S-8 M&S

COURSE #

PRESENTATION METHOD

HOURS

SUMMARY OF OFFERING (Continued)

11. METHOD OF PRESENTATION (CHECK ONE)

- LIVE: CLASSROOM/CONFERENCE/SYMPOSIUM
- CORRESPONDENCE AND INTERNET
- CORRESPONDENCE
- INTERNET

IF INTERNET CHECK APPROPRIATE BOX

- ENTIRE COURSE
- ENTIRE COURSE & FINAL EXAM
- FINAL EXAM ONLY

IF INTERNET, ENTER THE NAME OF THE ONLINE COURSE PROVIDER AND WEB SITE ADDRESS

COMPANY NAME

WEB SITE ADDRESS

12. Number of credit hours requested? (*Note*: One hour minimum. Must be whole hours.)..... _____

13. a. For live offerings, what is the minimum attendance requirement for each student (i.e., 90%)?..... _____

b. Will attendance be monitored through the use of a Sign In/Sign Out Sheet?..... Yes No

14. a. How many questions are on the final exam?..... _____

b. Will exam be administered by open book or closed book?..... Open Closed

c. What is your minimum passing score (70% is regulation minimum)..... _____

d. Is an alternate final exam offered?..... Yes No

15. a. Will the offering be presented in the English language?..... Yes No

b. Will the offering be presented in any other language?..... Yes No

c. If YES, please state the languages?..... _____

16. a. Have you ever had a course approval, diploma, certificate, credential, or real estate license denied, revoked, restricted, or suspended?..... Yes No

b. If YES, please explain.

17. Explain your policy and procedure for providing the general information page to students *prior* to actual registration/enrollment.

18. a. Will any marketing activities be conducted inside or outside of the instruction room before, during, or after the continuing education offering?..... Yes No

A sponsor, course instructor, or third party vendor is prohibited from marketing, selling, or displaying any product or service during the course offering.

b. If YES, please explain.

	SPONSOR CERTIFICATION	
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I consent to inspection or monitoring by authorized representatives of the Bureau of Real Estate (CalBRE) as outlined below.

Inspection/Monitoring Procedures

The CalBRE has instituted the following procedures to assist in evaluating the continuing education program:

1. **Direct Mail Evaluation:** Selected sponsors may be asked to provide lists of individuals who completed a recent offering(s). The Education section may send evaluation questionnaires concerning the quality and perception of the offering.
2. **Drop-In Monitoring:** Authorized course monitors may attend offerings on a drop-in basis. That is, they will not register and no fees or reimbursements will be involved.
3. **Information Reports:** Providers may be asked to submit course completion (i.e. completion certificates, attendance forms, exam grades, final exams, proof of payment, etc.) information for verification purposes.
4. **Investigative and/or Conformance Monitoring:** Authorized participants, may on occasion, attend offerings as a regular attendee or enroll in correspondence courses for the purpose of determining if the offerings are being presented under statute, regulation and requirements as approved.

If requested, course providers will be required to complete an annual report on offerings conducted.

The undersigned sponsor acknowledges receipt and understanding of the procedures outlined above which relate to all continuing education offerings approved under this application.

I agree to submit any material changes to this course application and material at least 90 days prior to proposed use. Approval by CalBRE is required prior to the presentation of this offering and the nature of any material changes may require a new application and fee upon review. I agree to retain complete records for at least five years from the date of each offering for all participants who enroll in this course.

I certify under penalty of perjury that I have read and understand the information and requirements contained in this application and attached information sheets, and that all statements are true and correct.

SIGNATURE OF PRIMARY CONTACT ➤	DATE
PRINTED NAME OF PRIMARY CONTACT	TITLE OF PRIMARY CONTACT
PRIMARY CONTACT'S EMAIL ADDRESS	
SIGNATURE OF OWNER ➤	PRINTED NAME OF OWNER
OWNER'S EMAIL ADDRESS	

Privacy Notice

Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. Each individual has the right to review personal information maintained by this agency, unless access is exempted by law.

Bureau of Real Estate
 1651 Exposition Blvd
 P.O. Box 137009
 Sacramento, CA 95813-7009
 Manager
 Education Section
 Telephone: (916) 263-8703

General powers of the Commissioner, Article 2.5 of Chapter 3 (commencing with Section 10170) and Article 25 of Chapter 6 (commencing with Section 3006) Title 10 California Administrative Code (Regulation of the Real Estate Commissioner) authorizes the maintenance of this information.